

OBJECTIVE

At the end of the course the participants will be able to interpret securities laws and listing regulations of capital market of Bangladesh.

PROGRAMME CONTENTS:

| Date | Topics |
|-----------------|--|
| Module 1 | <ul style="list-style-type: none"> • Bangladesh Securities and Exchange Commission ACT, 1993 • Securities and Exchange Ordinance, 1969 |
| Module 2 | <ul style="list-style-type: none"> • Securities and Exchange Commission (Stock Dealer, Stock Broker and Authorized Representatives) Rules, 2000 • Credit Rating Companies Rules, 1996 |
| Module 3 | <ul style="list-style-type: none"> • Securities and Exchange Commission (Mutual Fund) Rules, 2001 • Securities and Exchange Commission (Merchant Banker and Portfolio Manager) Rules, 1996 |
| Module 4 | <ul style="list-style-type: none"> • Securities and Exchange Commission (Prohibition of Insider Trading) Rules, 1995 • Margin Rules, 1999 • Securities and Exchange Commission(Over-the-Counter) Rules, 2001 |
| Module 5 | <ul style="list-style-type: none"> • Corporate Governance Guidelines, 2012 • Securities and Exchange Commission (Research Analysis) Rules, 2013 |
| Module 6 | <ul style="list-style-type: none"> • Depositories Act, 1999 • Depositories Regulations, 2000 • Depository (User) Regulations, 2003 |
| Module 7 | <ul style="list-style-type: none"> • Securities and Exchange Commission (Asset Backed Securities Issue) Rules, 2004 • Securities and Exchange Commission (Public Issue) Rules, 2015 • Securities and Exchange Commission (Rights Issue) Rules, 2006 |

| Date | Topics |
|------------------|--|
| Module 8 | <ul style="list-style-type: none"> • Securities and Exchange Rules, 1987 • Exchanges Demutualization Act, 2013 |
| Module 9 | <ul style="list-style-type: none"> • Dhaka Stock Exchange (Listing) Regulations, 2015 |
| Module 10 | <ul style="list-style-type: none"> • The Dhaka Stock Exchange (TREC Holders' Margin) Regulations, 2013 • Dhaka Stock Exchange (Short-Sale) Regulations, 2006 • Dhaka Stock Exchange Investors' Protection Fund Regulations, 2014 • Dhaka Stock Exchange (Settlement of Transactions) Regulations, 2013 • Dhaka Stock Exchange Automated Trading Regulations, 1999 |