

**OBJECTIVE**

At the end of the course the participants will be able to interpret securities laws and listing regulations of capital market of Bangladesh.

**PROGRAMME CONTENTS:**

<b>Date</b>	<b>Topics</b>
<b>Module 1</b>	<ul style="list-style-type: none"> <li>• Bangladesh Securities and Exchange Commission ACT, 1993</li> <li>• Securities and Exchange Ordinance, 1969</li> </ul>
<b>Module 2</b>	<ul style="list-style-type: none"> <li>• Securities and Exchange Commission (Stock Dealer, Stock Broker and Authorized Representatives) Rules, 2000</li> <li>• Credit Rating Companies Rules, 1996</li> </ul>
<b>Module 3</b>	<ul style="list-style-type: none"> <li>• Securities and Exchange Commission (Mutual Fund) Rules, 2001</li> <li>• Securities and Exchange Commission (Merchant Banker and Portfolio Manager) Rules, 1996</li> </ul>
<b>Module 4</b>	<ul style="list-style-type: none"> <li>• Securities and Exchange Commission (Prohibition of Insider Trading) Rules, 1995</li> <li>• Margin Rules, 1999</li> <li>• Securities and Exchange Commission(Over-the-Counter) Rules, 2001</li> </ul>
<b>Module 5</b>	<ul style="list-style-type: none"> <li>• Corporate Governance Guidelines, 2012</li> <li>• Securities and Exchange Commission (Research Analysis) Rules, 2013</li> </ul>
<b>Module 6</b>	<ul style="list-style-type: none"> <li>• Depositories Act, 1999</li> <li>• Depositories Regulations, 2000</li> <li>• Depository (User) Regulations, 2003</li> </ul>
<b>Module 7</b>	<ul style="list-style-type: none"> <li>• Securities and Exchange Commission (Asset Backed Securities Issue) Rules, 2004</li> <li>• Securities and Exchange Commission (Public Issue) Rules, 2015</li> <li>• Securities and Exchange Commission (Rights Issue) Rules, 2006</li> </ul>
<b>Module 8</b>	<ul style="list-style-type: none"> <li>• Securities and Exchange Rules, 1987</li> <li>• Exchanges Demutualization Act, 2013</li> </ul>

Date	Topics
<b>Module 9</b>	<ul style="list-style-type: none"> <li>• Dhaka Stock Exchange (Listing) Regulations, 2015</li> </ul>
<b>Module 10</b>	<ul style="list-style-type: none"> <li>• The Dhaka Stock Exchange (TREC Holders' Margin) Regulations, 2013</li> <li>• Dhaka Stock Exchange (Short-Sale) Regulations, 2006</li> <li>• Dhaka Stock Exchange Investors' Protection Fund Regulations, 2014</li> <li>• Dhaka Stock Exchange (Settlement of Transactions) Regulations, 2013</li> <li>• Dhaka Stock Exchange Automated Trading Regulations, 1999</li> </ul>